



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 4266

<b>SERIAL NUMBER</b> 10/047,134	<b>FILING DATE</b> 01/15/2002 <b>RULE</b>	<b>CLASS</b> 703	<b>GROUP ART UNIT</b> <del>2123</del> 2128	<b>ATTORNEY DOCKET NO.</b> 03317-P0014B
<b>APPLICANTS</b> Fang Ma, Canton, MI; Kay Ng, Stamford, CT; Nan Lu, Stamford, CT; Bin Li, Westport, CT;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/216,929 07/08/2000 * (*) Data inconsistent with PTO records. <i>60/261,929</i>				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED.. SMALL ENTITY **</b> <b>** 02/07/2002</b>				
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance <i>D. Silver</i> Examiner's Signature	STATE OR COUNTRY MI	SHEETS DRAWING 4	TOTAL CLAIMS 18
		INDEPENDENT CLAIMS 3	Initials <i>DS</i>	
<b>ADDRESS</b> 24126				
<b>TITLE</b> System for simulating trading of financial assets				
<b>FILING FEE RECEIVED</b> 370	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	